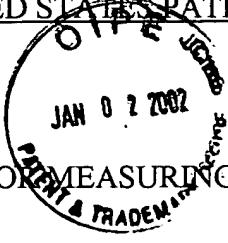


Rec'd for S

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicant: David B. Kay et al.

Title: SYSTEM AND METHOD FOR MEASURING THE QUALITY OF INFORMATION RETRIEVAL

Docket No.: 1546.007US1

Serial No.: 09/911,839 ✓

Filed: July 23, 2001

Due Date: October 31, 2001

Examiner: Unknown

Group Art Unit: 2152

Attn: Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

We are transmitting herewith the attached:

- A return postcard.
- A check in the amount of \$65.00 to cover the Missing Parts Fee.
- A check in the amount of \$412.00 to cover the Basic Filing Fee and Additional Claims Fee.
- Communication Re: Missing Parts (1 pg.)
- A signed Combined Declaration and Power of Attorney (4 pgs.).
- Notice to File Missing Parts (1pg.)
- Communication Re: Corrected Application Papers (1 pg.)
- Formal Drawings (4 pgs.).

Applicant claims small entity status under 37 C.F.R. 1.27.

If not provided for in a separate paper filed herewith, please consider this a PETITION FOR EXTENSION OF TIME for sufficient number of months to enter these papers and please charge any additional required fees or credit overpayment to Deposit Account No. 19-0743.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938, Minneapolis, MN 55402 (612-373-6900)

By: Suneel Arora
Name: Suneel Arora
Reg. No. 42,267
SA:CMG:eml

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Attn: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on this 31 day of October, 2001.

Emily Legendre
Name

Emily Legendre
Signature

S/N 09/911,839

JAN 02 2002

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: David B. Kay et al.

Examiner: Unknown

Serial No.: 09/911,839

Group Art Unit: 2152

Filed: July 23, 2001

Docket: 1546.007US1

Title: SYSTEM AND METHOD FOR MEASURING THE QUALITY OF
INFORMATION RETRIEVAL

COMMUNICATION RE: MISSING PARTS

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

In response to the "Notice to File Missing Parts" (see enclosed copy), we submit the signed Combined Declaration and Power of Attorney along with a check in the amount of \$65.00 to cover the small entity surcharge and a check in the amount of \$412.00 to cover the small entity basic filing fee.

Applicants assume the application is now in proper order and in condition for examination.
Please direct any inquiries to the undersigned attorney at (612) 373-6951.

If necessary, please charge any additional fees or credit overpayment to Deposit Account No. 19-0743.

Respectfully submitted,

DAVID B. KAY ET AL.

By their Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938
Minneapolis, MN 55402
(612) 373-6951

Date 10/31/01

By Suneel Arora

Suneel Arora
Reg. No. 42,267
SA:CMG:eml

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Attn: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on this 31 day of October, 2001.

Name Emily Legendre

Signature

Emily Legendre

S/N 09/911,839



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: David B. Kay et al. Examiner: Unknown
Serial No.: 09/911,839 Group Art Unit: 2152
Filed: July 23, 2001 Docket: 1546.007US1
Title: SYSTEM AND METHOD FOR MEASURING THE QUALITY OF INFORMATION
RETRIEVAL

COMMUNICATION RE: CORRECTED APPLICATION PAPERS

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

In response to the "Notice to File Missing Parts" which indicated "Corrected Papers" additionally required, enclosed are 4 pages of Formal Drawings.

Applicants assume the application is now in proper order and in condition for examination.

Please direct any inquiries to the undersigned attorney at (612) 373-6951.

If necessary, please charge any additional fees or credit overpayment to Deposit Account No.
19-0743.

Respectfully submitted,

DAVID B. KAY ET AL.

By their Representatives,

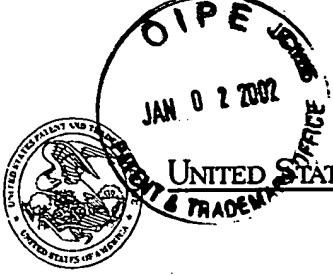
SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938
Minneapolis, MN 55402
(612) 373-6951

Date 10/31/01 By Suneel Arora

Suneel Arora
Reg. No. 42,267
SA:CMG:eml

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner of Patents, Washington, D.C. 20231, on October 31, 2001.
Date of Deposit

Emily Legendre
Emily Legendre



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/911,839	07/23/2001	David B. Kay	1546.007US1

CONFIRMATION NO. 3919

FORMALITIES LETTER



OC00000006502201

Schwegman, Lundberg, Woessner & Kluth, P.A.
P.O. Box 2938
Minneapolis, MN 55402

Date Mailed: 08/31/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$40.
 - \$40 for 1 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 460.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:

- drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

01/04/2002 BNUGUYEN1 00000071 09911639
65.00 OP
370.00 OP
42.00 OP
01 FC:205
02 FC:201
03 FC:202

*A copy of this notice **MUST** be returned with the reply.*

CK

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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